

Annexure-5

1. Bulk Upload File Format

a) Bulk Upload Order Entry File Format- Demat Mode (Comma separated file [csv] without header)

S.No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory	Remarks
1	Symbol	AlphaNumeric 12	Mandatory	
2	Series	AlphaNumeric 2	Mandatory	
3	Sub Category	AlphaNumeric 5	Mandatory	
4	Application Amount	Numeric 15	Mandatory	
5	DP Name	Char 4	Mandatory	NSDL/CDSL
6	DP ID	AlphaNumeric 8	Non Mandatory	Mandatory in case of NSDL
7	Ben ID	AlphaNumeric 16	Mandatory	NSDL (8) & CDSL (16)
8	PAN No	AlphaNumeric 10	Mandatory	
9	ARN No	AlphaNumeric 16	Mandatory	ARN-XXXXXXXX
10	Advisory / Execution	Char 1	Mandatory	A-Advisory; E-Execution
11	EUIN No	AlphaNumeric 16	Non Mandatory	Mandatory in case of Advisory
12	Sub Broker Code	AlphaNumeric 16	Non Mandatory	
13	Reference Number	AlphaNumeric 16	Non Mandatory	
14	Order Number	Numeric 16		BID ID Put 0 or blank in case of fresh order (will be replaced by order number in out file)
15	Activity Type	Char 1	Mandatory	N-New entry M-Modification D-Deletion

b) Bulk Upload Order Entry Success File

S. No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory	Remarks
1	Symbol	AlphaNumeric 12	Mandatory	
2	Series	AlphaNumeric 2	Mandatory	
3	Sub Category	AlphaNumeric 5	Mandatory	
4	Bid Amount	Numeric 15	Mandatory	
5	DP Name	Char 4	Mandatory	NSDL/CDSL
6	DP ID	AlphaNumeric 8	Non Mandatory	Mandatory in case of NSDL
7	Ben ID	AlphaNumeric 16	Mandatory	NSDL (8) & CDSL (16)
8	PAN No	AlphaNumeric 10	Mandatory	
9	ARN No	AlphaNumeric 16	Mandatory	ARN-XXXXXXXX
10	Advisory / Execution	Char 1	Mandatory	A-Advisory; E-Execution
11	EUIN No	AlphaNumeric 16	Non Mandatory	Mandatory in case of Advisory
12	Sub Broker Code	AlphaNumeric 16	Non Mandatory	
13	Reference Number	AlphaNumeric 16	Non Mandatory	
14	Order Number	Numeric 16		BID ID Put 0 or blank in case of fresh order (will be replaced by order number in out file)
15	Activity Type	Char 1	Mandatory	N-New entry M-Modification D-Deletion
16	Application Number	AlphaNumeric 16	Mandatory	Auto generated
17	User	AlphaNumeric 30	Mandatory	Will be the login id

c) Bulk Upload Order Entry Error File

S. No	Field Name	Character Alpha / Numeric	Mandatory / non-Mandatory	Remarks
1	Symbol	AlphaNumeric 12	Mandatory	
2	Series	AlphaNumeric 2	Mandatory	
3	Sub Category	AlphaNumeric 5	Mandatory	
4	Bid Amount	Numeric 15	Mandatory	
5	DP Name	Char 4	Mandatory	NSDL/CDSL
6	DP ID	AlphaNumeric 8	Non Mandatory	Mandatory in case of NSDL
7	Ben ID	AlphaNumeric 16	Mandatory	NSDL (8) & CDSL (16)
8	PAN No	AlphaNumeric 10	Mandatory	
9	ARN No	AlphaNumeric 16	Mandatory	ARN-XXXXXXXX
10	Advisory / Execution	Char 1	Mandatory	A-Advisory; E-Execution
11	EUIN No	AlphaNumeric 16	Non Mandatory	Mandatory in case of Advisory
12	Sub Broker Code	AlphaNumeric 16	Non Mandatory	
13	Reference Number	AlphaNumeric 16	Non Mandatory	
14	Order Number	Numeric 16		BID ID Put 0 or blank in case of fresh order (will be replaced by order number in out file)
15	Activity Type	Char 1	Mandatory	N-New entry M-Modification D-Deletion
16	Application Number	AlphaNumeric 16	Non Mandatory	Auto generated
17	User	AlphaNumeric 30	Mandatory	Will be the login id
18	Error message	AlphaNumeric 100	Mandatory	

2. Funds Pay In Report for members - T Day

File Nomenclature: ETF_FPIN_<TM_CODE>_DDMMYYYY.CSV		
Sr. No.	Field Name	
1	Serial Number	
2	Member type	
3	Member code	
4	Symbol	
5	Bid Number	
6	Amount	

3. Status of the valid bids entered on T day for Members

File Nomenclature: <MEMCODE>_BIDS_<DDMMYYYY>.CSV		
Sr. No.	Field Name	Field type
1	Record Type	10
2	Date	DD-MON-YYYY
3	Sum of Application Amount	
4	Number of records	
Detail Record		
1	Record Type	20
2	Date	DD-MON-YYYY
3	Order Number	YYYYMMDDXXXXXXXXXX
4	TM Code	
5	Symbol	
6	Series	GR
7	Application Amount	
8	PAN No of First Holder	
9	Depository Name	NSDL/CDSL
10	DP ID	Alphanumeric (Only in case of NSDL)
11	Beneficiary ID	
12	Category	QIB/NII/RETAIL/HUFNII/NRINII/HUFRET/NRIRET
13	Sub Category	IND, NRI, HUF, CO, NOH, OTH
14	ARN No	ARN-XXXXXX
15	Sub Broker Code	
16	Advisory/Execution	Advisory/Execution
17	EUIN No	
18	NSCCL Status	S/ W/ R
19	Remarks	S: Funds Received/Confirmed W: Bid withdrawn by the Participant R:Bid rejected for funds shortage

4. Status of the valid bids entered on T day for Members

File Nomenclature: <MEMCODE>_ALLT_<SYMBOL>_<DDMMYYYY>.CSV		
Sr. No.	Field Name	Field type
1	Record Type	10
2	Date	DD-MON-YYYY
3	Sum of Application Amount	
4	Number of records	
Detail Record		
1	Record Type	20
2	Date	DD-MON-YYYY
3	Order Number	YYYYMMDDXXXXXXXXXX
4	TM Code	
5	Symbol	
6	Series	GR
7	Application Amount	
8	PAN No of First Holder	Alphanumeric
9	Depository Name	NSDL/CDSL
10	DP ID	Alphanumeric (Only in case of NSDL)
11	Beneficiary ID	
12	Category	QIB/NII/RETAIL/HUFNII/NRINII/HUFRET/NRIRET
13	Sub Category	IND, NRI, HUF, CO, NOH, OTH
14	ARN No	ARN-XXXXXX
15	Sub Broker Code	Free Text
16	Advisory/Execution	Advisory/Execution
17	EUIN No	Alphanumeric
18	Allotted Units	
19	Allotted Amount	